

# New Advisor Development Program

## How Do I Get My Securities Industry Essential (SIE)

### Overview

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The Securities Industry Essentials (SIE) certification is a pre-requisite for the New Advisor Development Program (NADP) and must be obtained prior to joining the program.

This introductory-level FINRA exam assesses a candidate's knowledge of basic securities industry information including concepts fundamental to working in the industry, such as types of products and their risks; the structure of the securities industry markets, regulatory agencies, and their functions; and prohibited practices.

### Getting Started

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To get started, please visit [Securities Industry Essentials® \(SIE®\) Exam | FINRA.org](#). Your candidate will need to create an account and enroll to take the SIE. The enrollment process includes paying for the exam. Once the enrollment is approved, your candidate has a 120-day window in which the exam must be taken.

1. Create an account.
2. Enroll for the SIE, paying with credit card or ACH, or by redeeming a voucher.
3. [Schedule your exam](#). You have the option to test either at a Prometric test center or online.

### Questions

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For more information, review the [self-enrollment FAQ](#).

For assistance, a FINRA virtual agent is available to answer your questions via chat or schedule a call with a live agent.

### Materials

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The course study materials are:

- Securities Industry Essentials (SIE)
- [Home | Pass Perfect](#)

**Note: candidates must have obtained the SIE before applying for a job posting, as it is a minimum requirement.**